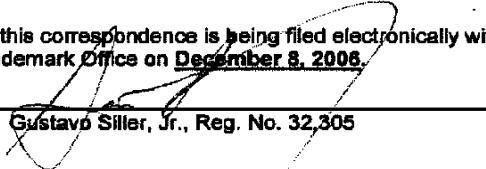


**CERTIFICATE OF ELECTRONIC TRANSMISSION**

I hereby certify that this correspondence is being filed electronically with the U.S. Patent and Trademark Office on December 8, 2006.

  
Gustavo Siller, Jr., Reg. No. 32,305

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Kawasaki et al.

Appln. No.: 10/659,119

Filed: September 10, 2003

For: MAGNETIC FILM AND THIN FILM  
MAGNETIC HEAD USING THIS  
MAGNETIC FILM

Attorney Docket No.: 9281/4620

Client Ref. No. N US02099

Examiner: Kevin M. Bernatz

Art Unit: 1773

**INFORMATION DISCLOSURE STATEMENT  
ACCOMPANYING REQUEST FOR CONTINUED EXAMINATION**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following references:

No.	Date of Publication	Applicant/Assignee
JP Unexamined Pat. Appln. Publn. No. 5-335146	12-17-1993	Hitachi Ltd.
JP Unexamined Pat. Appln. Publn. No. 11-154310	06-08-1999	
JP Unexamined Pat. Appln. Publn. No. 4-235288	08-24-1992	
JP Unexamined Pat. Appln. Publn. No. 2000-100622	04-07-2000	Matsushita Electric Ind. Co.
JP Unexamined Pat. Appln. Publn. No. 2002-217029	08-02-2002	
JP Unexamined Pat. Appln. Publn. No. JP 2002-57031	02-22-2002	Alps Electric Co.
JP Unexamined Pat. Appln. Publn. No. 6-251978	09-09-1994	TDK Corp.
6,252,749	06-26-2001	Alps Electric Co.

<b>NON PATENT LITERATURE DOCUMENTS</b>	
	<b>Description</b>
	Notification of Reason for Refusal of JP Patent Appln. No. 2003-133447 dated October 17, 2006 with translation, 8 pages.

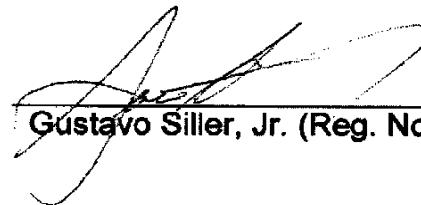
Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2).

Applicant respectfully requests that the citations be placed into the file wrapper of the application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,



Gustavo Siller, Jr. (Reg. No. 32,305)

December 8, 2006  
Date

FORM PTO-1449			APPLICATION NO. 10/659,119	ATTORNEY DOCKET NO. 9281/4620
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)			FILING DATE September 10, 2003	GROUP ART UNIT 1773
			FIRST NAMED INVENTOR: Kawasaki EXAMINER NAME: Kevin M. Bernatz	

#### U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Class/ Subclass	Filing Date
	D1	6,252,749	06/26/2001			

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Country Code, Number Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Class/ Subclass	T
	D2	JP Unexamined Pat. Appln. Publn. No. 5-335146	12-17-1993	Hitachi Ltd.		X
	D2	JP Unexamined Pat. Appln. Publn. No. 11-154310 (see U.S. Patent No. 6,252,749)	06-08-1999			X
	D3	JP Unexamined Pat. Appln. Publn. No. 4-235288	08-24-1992			X
	D4	JP Unexamined Pat. Appln. Publn. No. 2000-100622	04-07-2000	Matsushita Electric Ind. Co.		X
	D5	JP Unexamined Pat. Appln. Publn. No. 2002-217029	08-02-2002			X
	D6	JP Unexamined Pat. Appln. Publn. No. JP 2002-57031	02-22-2002	Alps Electric Co.		X
	D7	JP Unexamined Pat. Appln. Publn. No. 6-251978	09-09-1994	TDK Corp.		X

#### NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIALS	Cite No.	(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)	T
	D8	Notification of Reason for Refusal of JP Patent Appln. No. 2003-133447 dated October 17, 2006 with translation, 8 pages.	X

NOTE: For "T" -- please place an "X" if an English translation is being provided to the Patent Office.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.